



PATENT  
2907-104P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: NIEBOER et al.

Appl. No.: 09/695,828

Group: 2165

Filed: October 26, 2000

Examiner: THOMPSON JR, F.

For: AUTOMATED SYSTEM FOR CONDITIONAL  
ORDER TRANSACTIONS IN SECURITIES OR  
OTHER ITEMS IN COMMERCE

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JUL 19 2001

Technology Center 2100

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, DC 20231

July , 2001

Sir:

The following amendments and remarks are respectfully submitted in connection  
with the above-identified application.

IN THE CLAIMS

Cancel claims 1 to 41 without prejudice or disclaimer of the subject matter  
therein.

Amend the claims as follows:

42. (amended) A conditional order transaction network that matches or  
compares buy and sell orders for a plurality of items based upon conditions set forth  
within the order, including the price represented as an algorithm with constraints  
thereon, the conditional order transaction network comprising: